

OUR REGULATORY PRINCIPLES



UNDERSTANDING AND ADDRESSING RISK

We will understand and address safety, security and consumer protection risks across the sector, for the benefit of consumers and the general public.

We will be clear that primary responsibility lies with those delivering the activity, and require them to show us how they manage their own risk. We will work with partners where they are best placed to deliver better outcomes.

DELIVERING UNIQUE VALUE

We will take a proactive, collaborative approach to the functioning and development of the regulatory system in the UK and worldwide.

We will facilitate and nurture innovation and help others to do the same. We will deliver independent regulatory oversight within the legislative and policy framework set by Parliament and Government.

ACTING PROPORTIONATELY

We will explore different ways of achieving desired outcomes, regulating only where we have to. The benefits expected from our regulation will outweigh any burden or cost we impose.

We will maintain a strong understanding of the differences among the organisations and individuals we regulate, and will tailor regulatory approaches accordingly.

ENGAGING PROACTIVELY AND TRANSPARENTLY

We will constantly look outwards and challenge ourselves to prepare for sectoral and technological innovation and new challenges.

We will draw on a wide range of evidence, ideas and feedback from those we regulate and wider society to inform our decisions.

We will be clear about how our actions and decisions may affect our stakeholders. We will publish appropriate information in a clear and accessible manner to ensure transparency.

ACTING ON OUR COMBINED INSIGHT

We will value the collective insights of the CAA, and continually encourage innovative approaches in our work.

We will draw on evidence, data, best practice and external insights, particularly when balancing competing interests or considering trade-offs.

APPLYING THE PRINCIPLES:

These principles are an articulation of our regulatory approach, which is designed to improve safety, security and consumer protection outcomes.

We will be guided by the principles when designing, prioritising or implementing regulatory activities. We also have regard to the [Principles of Good Regulation](#), the [Regulators' Code](#) and the [Regulators' Growth Duty](#), and are guided by the [consumer principles](#) which provide a consumer-focused lens for regulation.