



Civil Aviation Authority

# SAFETY AND OPERATIONAL DIRECTIVE

Number: SD–2018/001



Issued: 28 February 2018

## Conditions Applying to the Operation of Aeroplanes and Helicopters Registered in the United Kingdom Whose Operator has a Principal Place of Business or is Residing in a Third Country Other than a European Union or an Associated EASA Member State

This Safety and Operational Directive contains mandatory action that is required to restore an acceptable level of safety. It is issued in accordance with Article 248 and 17(1) of the Air Navigation Order 2016 and in accordance with Article 14.1 of the Basic Regulation.

<b>Applicability:</b>	
<b>Aerodromes:</b>	Not primarily affected
<b>Air Traffic:</b>	Not primarily affected
<b>Airspace:</b>	Not primarily affected
<b>Airworthiness:</b>	Not primarily affected
<b>Flight Operations:</b>	All Operators of Aeroplanes and Helicopters Registered in the United Kingdom where the Operator has its Principal Place of Business or is Residing in a Third Country other than a European Union Member State or an Associated EASA Member State and where the Operator is required to comply with Part-ORO, Part-NCC, Part-SPA or Part-SPO
<b>Licensed Personnel:</b>	Not primarily affected

### 1 Introduction

- 1.1 This Safety and Operational Directive contains mandatory action that is required to establish an acceptable level of safety by operators of aeroplanes and helicopters registered in the United Kingdom, where the operator has its principal place of business or is residing in a third country other than a European Union member state or an associated EASA member state, and is conducting a flight where the operator is required by Article 4(1)(b) of the Basic Regulation to comply with that regulation.
- 1.2 The Operational Directive is issued under article 248 and 17(1) of the Air Navigation Order 2016 and in accordance with Article 14.1 of the Basic Regulation and replaces SD 2016/004 which is hereby revoked. This Directive has been updated to include Specialised Operations (Part-SPO).
- 1.3 Recipients must ensure that this Directive is copied to all members of their staff who need to take appropriate action or who may have an interest in the information.

## 2 Compliance/Action to be taken

- 2.1 The United Kingdom Civil Aviation Authority (CAA), in exercise of its powers under article 248 and 17(1) of the Air Navigation Order 2016, and in accordance with Article 14.1 of the Basic Regulation, directs operators of aeroplanes and helicopters registered in the United Kingdom and which are subject to the Basic Regulation, where the operator has its principal place of business or is residing in a third country other than a European Union member state or an associated EASA member state, to comply with the requirements set out in paragraph 2.2.
- 2.2 The competent authority, in relation to ORO.GEN.100, NCC.GEN.100, SPA.GEN.100 or SPO.GEN.100, for the operation of any flight with such an aeroplane or helicopter where the operator is required to comply with Part-ORO, Part-NCC, Part-SPA or Part-SPO in relation to that flight, is the CAA. Operators must make any required declaration under ORO.DEC.100 to the CAA or obtain any approvals or authorisation required under Part-ORO or Part-SPA, relevant to the flight, from the CAA, as the case may be.

## 3 Reason

- 3.1 This Safety and Operational Directive is made in the interest of assuring safe operations by aeroplanes and helicopters registered in the United Kingdom, where the operator has its principal place of business or is residing in a third country other than a European Union member state or an associated EASA member, is required to comply with the Basic Regulation and therefore to comply with Part-ORO, Part-NCC, Part-SPA or Part-SPO, but for whom the CAA is not specifically designated as the competent authority under ORO.GEN.100, NCC.GEN.100, SPA.GEN.100 or SPO.GEN.100.
- 3.2 This Directive is also applicable to operators of aeroplanes and helicopters under Part-NCO when requiring any necessary Specific Approval under Part-SPA.

## 4 Interpretation

In this Directive:

- 4.1 "EASA" means the European Aviation Safety Agency;
- 4.2 "The Basic Regulation" means Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (as amended as at the date of this Directive);
- 4.3 "The Air Operations Regulation" means Commission Regulation (EU) No. 965/2012 on air operations (as amended as at the date of this Directive);
- 4.4 "Part-ORO", "Part-SPA", "Part-NCC", "Part-NCO" and "Part-SPO" mean, respectively, Annexes, III, V, VI, VII and VIII to the Air Operations Regulation;
- 4.5 "Operator" has the same meaning as in Article 3(h) of the Basic Regulation; and
- 4.6 "Principal place of business" has the same meaning as in Annex I of the Air Operations Regulation.

## 5 Queries

- 5.1 Operators can find more information at [www.caa.co.uk/NCC](http://www.caa.co.uk/NCC) or [www.caa.co.uk/SPO](http://www.caa.co.uk/SPO).
- 5.2 Any requests for further guidance should be addressed to Flight Operations Policy at the following e-mail address: [ISPTechnicalSupportTeam@caa.co.uk](mailto:ISPTechnicalSupportTeam@caa.co.uk).

## 6 Commencement

- 6.1 This Directive comes into force on 28 February 2018 and will remain in force until revoked by the CAA.

**Signed:**        **Philip Clarke**  
                      *for the Civil Aviation Authority*

**Date:**         **28 February 2018**